

# Determinations of $V_{ub}$ from Inclusive $b \rightarrow u\bar{c}s'$ Decay

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1. Introduction and motivation.
2. Extracting  $V_{ub}$  from nonleptonic  $B$  decays.
  - (a). perturbative and non-perturbative corrections
  - (b). "theoretical" uncertainties
3. Conclusions and outlook.

A. Falk & A. Petrov hep-ph/9903518; J. Chey, A. Falk, N. Luke, A. Petrov

hep-ph/9907363

The origin of  
CKM is well-known

## Introduction and motivation

- SM Lagrangian Mass matrices are not diagonal in the gauge basis

$$\begin{aligned}-\mathcal{L}_{F,mass} &= \bar{u}'_L \mathbf{m}'_{\mathbf{u}} u'_R + \bar{d}'_L \mathbf{m}'_{\mathbf{d}} d'_R + \dots \\ &= \bar{u}'_L S_L^u S_L^{u\dagger} \mathbf{m}'_{\mathbf{u}} S_R^u S_R^{u\dagger} u'_R + \bar{d}'_L S_L^d S_L^{d\dagger} \mathbf{m}'_{\mathbf{d}} S_R^d S_R^{d\dagger} d'_R + \dots \\ &= \bar{u}_L \mathbf{m}_{\mathbf{u}} u_R + \bar{d}_L \mathbf{m}_{\mathbf{d}} d_R + \dots\end{aligned}$$

- Diagonalization affects quark charged weak currents

$$J_c^\mu = 2\bar{u}'_{L,\alpha} \gamma^\mu d'_{L,\alpha} = 2\bar{u}_{L,\alpha} \gamma^\mu [S_L^{u\dagger} S_L^d]_{\alpha\beta} d_{L,\beta}$$

- Assume three generations  $\Rightarrow$  Cabibbo-Kobayashi-Maskawa quark mixing matrix

$$V_{CKM} = S_L^{u\dagger} S_L^d = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix},$$

- Wolfenstein parameterization

$$V_{CKM} = \begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}.$$

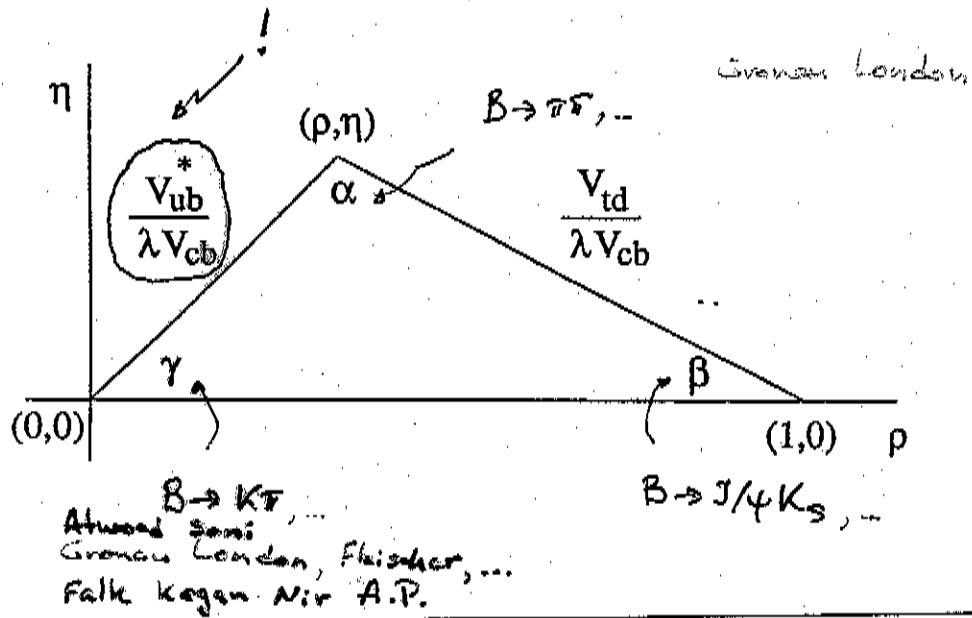
- Complex phase  $\Rightarrow$  CP violation!

$$\hookrightarrow \epsilon'$$

- CKM matrix is Unitary by construction

$$V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$$

- Unitary triangle in the complex  $(\rho, \eta)$  plane



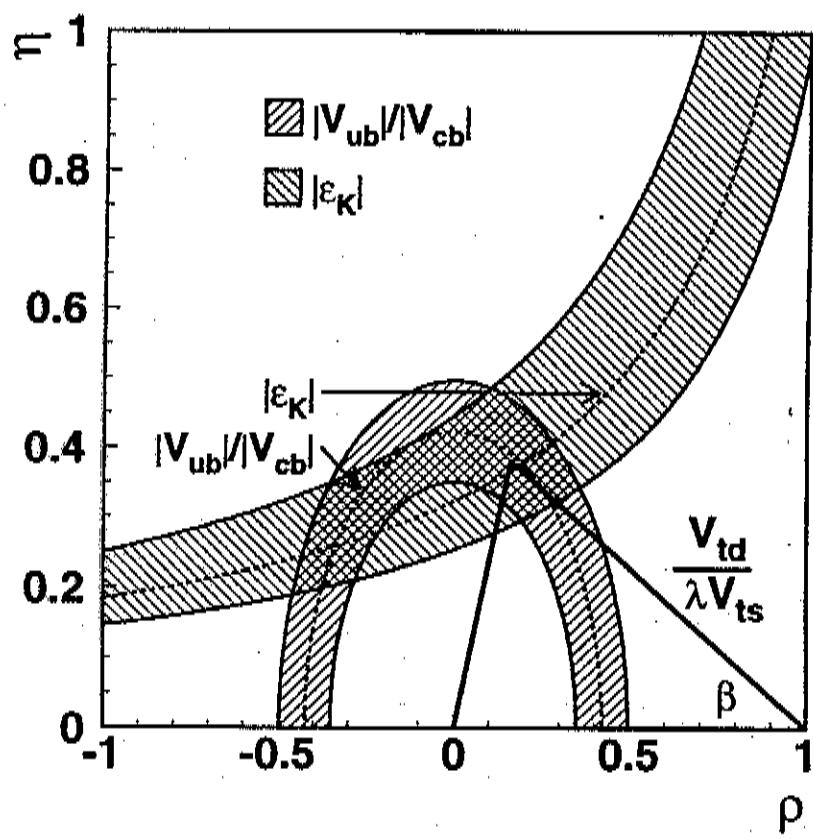
$$|V_{us}| = \lambda = 0.2196 \pm 0.0023, \quad |V_{cb}| = 0.0395 \pm 0.0017,$$

$$|V_{ub}/V_{cb}| = 0.090 \pm 0.025$$

- Overconstrain Unitary Triangle to get a grip on

- CP violation
  - Possible New Physics effects
- ⇒ measure sides and angles separately

From G.Bauer (CDF)  
hep-ex/9904017

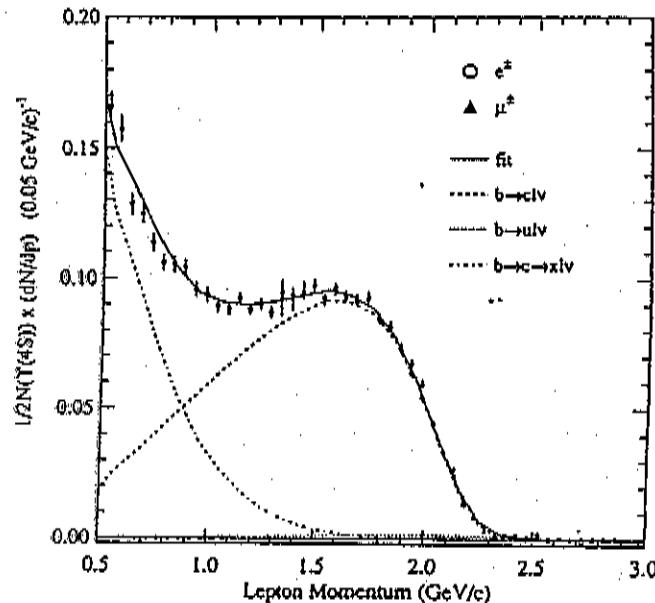


Various methods  
have been  
prop.  
and...  
excl.

## Available methods for determination of $V_{ub}$

- ◊  $V_{ub}$  from inclusive semileptonic  $b \rightarrow ul\nu$  decays

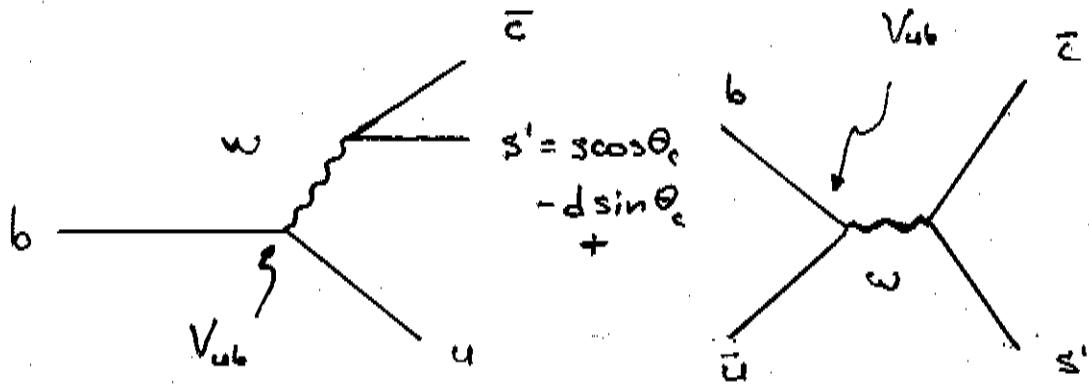
Chay Georgi Grinstein  
Manohar Wise  
Blok et.al. Bigi et.al.  
Voloshin Shifman



- Exp. Problem: intractable everywhere except the endpoint  
Th. Problem: Operator Product Expansion breaks down there      Bigi et.al.  
Other variables ?      Falk Ligeti Wise  
Bigi Braun  
Burdman Kambor  
Lellouch  
Ligeti Wise ...
- ◊  $V_{ub}$  from exclusive semileptonic  $B \rightarrow \pi(\rho)l\nu$  decays
- Exp. Problem: tiny rate, otherwise Ok  
Th. Problem: unknown hadronization physics
- ⇒ Lattice, QCD sum rules, dispersion relations, ...
- Other methods ? Hadronic (nonleptonic) modes ?  
 $b \rightarrow u\bar{u}d\bar{d}$  ? Penguin 'pollution'...

The main problem  
is having  $q\bar{q}$   
at the same flavor.  
Fix it ...

## Extracting $V_{ub}$ from $B$ decays to anti-charm



- $b \rightarrow u\bar{c}s'$  has quarks of different flavors in the final state:  
 $\Rightarrow$  no contributions from penguins or final state rescatterings  
A. Falk, A. P. (ind.)
- Presence of  $\bar{c}$  in the final state  
 $\Rightarrow$  unique process signature  
B. Grinstein, R. Lebed  
 $(B \rightarrow D^* \gamma$  excl.)
- Three charged particles in the final state  
 $\Rightarrow$  possibly under better experimental control  
J. Chay, A. Falk,  
M. Luke, A. P.  
M. Beneke, G. Buchalla,  
T. Dohmets
- Consider ratios  $\Rightarrow$  some of the theoretical uncertainties cancel

$$E.g. R = \frac{\Gamma(b \rightarrow \bar{c}us')}{N_c \Gamma(b \rightarrow cl\nu)} \sim \left| \frac{V_{ub}}{V_{cb}} \right|^2$$

↑ one of the sides  
of unitary triangle

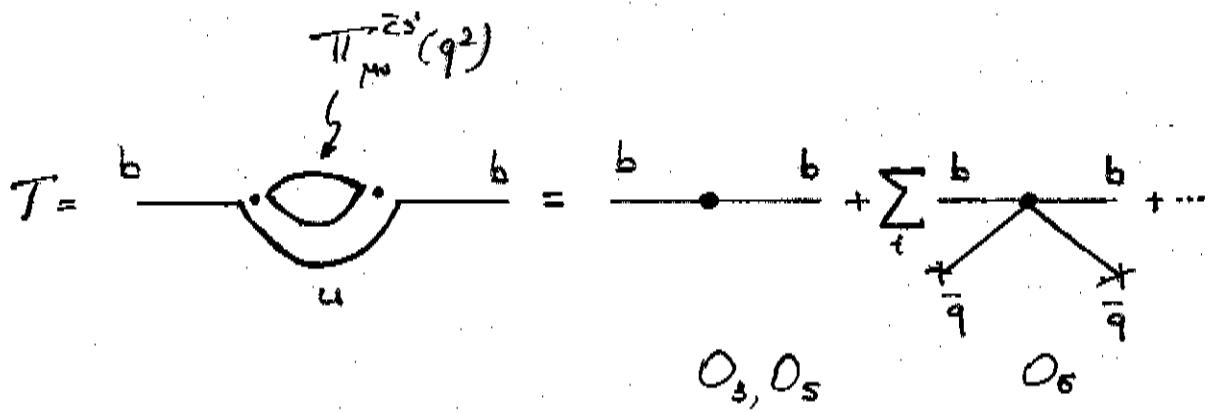
- $\Gamma_{SL}(b \rightarrow cl\nu) = f_0 |V_{cb}|^2 f(x_0) (1 + \dots)$

## Theoretical feasibility

A. Falk, A. Petrov  
hep-ph/9903518

- $b \rightarrow \bar{c}X$  is mediated by the  $\Delta B = 1$  Lagrangian  $(V-A) \times (V-A)$

$$\begin{aligned}\mathcal{L} &= \frac{4G_F}{\sqrt{2}} V_{ub} [c_1(\mu) \bar{u}_\alpha \gamma_\mu P_L b_\alpha \bar{s}'_\beta \gamma^\mu P_L c_\beta \\ &\quad + c_2(\mu) \bar{u}_\alpha \gamma_\mu P_L b_\beta \bar{s}'_\beta \gamma^\mu P_L c_\alpha] + \text{h.c.} \\ &= \frac{4G_F}{\sqrt{2}} V_{ub} [(c_1(\mu) + \frac{1}{N_c} c_2(\mu)) \bar{u} \gamma_\mu P_L b \bar{s}' \gamma^\mu P_L c \\ &\quad + 2c_2(\mu) \bar{u} \gamma_\mu T^a P_L b \bar{s}' \gamma^\mu T^a P_L c] + \text{h.c.},\end{aligned}$$



- From the optical theorem

$$\begin{aligned}\Gamma(b \rightarrow \bar{c}X) &= \langle B | \mathcal{T}(b \rightarrow \bar{c}X \rightarrow b) | B \rangle \\ &= \frac{1}{m_b} \langle B | \text{Im} \{ i \int d^4x T[\mathcal{L}^\dagger(x), \mathcal{L}(0)] \} | B \rangle \\ &\sim \Pi_{\mu\nu}^{\bar{c}s'}(q^2) \times H^{\mu\nu}(m_b).\end{aligned}$$

$\uparrow$   
 $\approx$  loop

- Quark-hadron duality ...

- What ratios are feasible theoretically and experimentally?

$$R_1 = \frac{\Gamma(b \rightarrow \bar{c}us')}{N_c \Gamma(b \rightarrow cl\nu)} \simeq \chi \left| \frac{V_{ub}}{V_{cb}} \right|^2 (1 + g(x_c) + \dots)$$

$$R_2 = \frac{\Gamma(b \rightarrow cX) - \Gamma(b \rightarrow \bar{c}X)}{\Gamma(b \rightarrow c\bar{u}d')} = 1 - \frac{\Gamma(b \rightarrow \bar{c}us')}{\Gamma(b \rightarrow c\bar{u}d')}$$

$$R_3 = \frac{\Gamma(b \rightarrow cX) - \Gamma(b \rightarrow \bar{c}X)}{N_c \Gamma(b \rightarrow cl\nu)} = \frac{\Gamma(b \rightarrow c\bar{u}d')}{N_c \Gamma(b \rightarrow cl\nu)} - R_1$$

- $R_1$ : the simplest ratio one can form, but:

Background from  $b \rightarrow c\bar{c}s'$ : get rid of it experimentally?

- $R_2$ : get rid of  $b \rightarrow c\bar{c}s'$  theoretically!

$$\begin{aligned} b &\xrightarrow{\substack{\bar{c} \\ u(c)}} \Gamma(b \rightarrow \bar{c}X) = \Gamma(b \rightarrow u\bar{c}s') + \Gamma(b \rightarrow c\bar{c}s'), \\ b &\xrightarrow{\substack{\bar{u}(\bar{c}) \\ s'}} \Gamma(b \rightarrow cX) = \Gamma(b \rightarrow c\bar{u}d') + \Gamma(b \rightarrow c\bar{c}s')! \end{aligned}$$

- $R_3$ : different normalization, more measurements...

$$R_3 = 1 + \delta_3 - R_1, \quad \delta_3 = \frac{\Gamma(b \rightarrow c\bar{u}d')}{N_c \Gamma(b \rightarrow cl\nu)} - 1$$

- ... and a very different experimental problem !

## $R_1$ : components of the final answer

- Parameterize the 'polarization tensor'

$$\Pi_{\mu\nu}^{\bar{c}s'}(q) = \frac{N_c}{8\pi} [A(q^2)(q_\mu q_\nu - q^2 g_{\mu\nu}) + B(q^2)q_\mu q_\nu],$$

- The decay rate  $b \rightarrow \bar{c}X_{ud'}$  is

$$\Gamma(b \rightarrow u\bar{c}s') = 3N_c \Gamma_0 |V_{ub}|^2 x_c^4 \int_1^{1/x_c} dz (1/x_c - z)^2 \times [A(z)(2z + 1/x_c) + B(z)/x_c],$$

$A = A_0 + A_1 + \dots$   
 $B = B_0 + B_1 + \dots$

with  $x_c = m_c^2/m_b^2$  and  $\Gamma_0 = G_F^2 m_b^5 / 192\pi^3$ .

note  $m_c^5$ !

- At the leading order

$$A_0(z) = \chi a_0(z) = \frac{2}{3}\chi (1 - 1/z)^2 (1 + 1/2z), \quad \sim \alpha_s^0$$

$$B_0(z) = \chi b_0(z) = \chi (1 - 1/z)^2 / z \quad \sim \alpha_s^0$$

$\delta_{ul}(+\chi_s)$

$$\frac{\Gamma(b \rightarrow cl\nu)}{f(x_c)} = \frac{\Gamma_0 |V_{cb}|^2 f(x_c)}{1 - 8x_c + 8x_c^3 - x_c^4 - 12x_c^2 \ln x_c}, \quad \Gamma(b \rightarrow u\bar{c}s') = N_c \Gamma_0 |V_{ub}|^2 f(x_c)!$$

$$R_1 = \Gamma(b \rightarrow \bar{c}X_{ud'}) / N_c \Gamma(b \rightarrow cl\nu)$$

$$= \chi |V_{ub}/V_{cb}|^2 \{ 1 + \mathcal{O}(\alpha_s, 1/m_b^2) \}$$

$$(C_1 + C_2/N_c)^2 + 2C_2^2/N_c \approx 1.09 \quad (m_b = 4.8 \text{ GeV})$$

$\chi_s, 1/m_b^2, 1/m_b^3, \dots$

No  $1/m_b$  correction!  
 BBSUV/CCG Thm!

Note: no phase space factor!

## $R_2$ : components of the final answer

- Form 'asymmetry' to cancel  $b \rightarrow c\bar{c}s$

$$R_2 = \frac{\Gamma(b \rightarrow cX) - \Gamma(b \rightarrow \bar{c}X)}{\Gamma(b \rightarrow c\bar{u}d')} = 1 - \frac{\Gamma(b \rightarrow \bar{c}us')}{\Gamma(b \rightarrow c\bar{u}d')}$$

$$\Gamma(b \rightarrow \bar{c}X) = \Gamma(b \rightarrow u\bar{c}s') + \underline{\Gamma(b \rightarrow c\bar{c}s')},$$

$$\Gamma(b \rightarrow cX) = \Gamma(b \rightarrow c\bar{u}s') + \underline{\Gamma(b \rightarrow c\bar{c}s')}!$$

- ◊ Exp  $R_2$  requires separation of  $b \rightarrow c\bar{u}d'$  and  $b \rightarrow c\bar{c}d'$

⇒ easier problem!

- ◊ Th. Some of the LO QCD corrections cancel out in  $\delta_2 = 1 - R_2$

⇒ milder scale dependence!

$$R_1 = \left| \frac{V_{ub}}{V_{cb}} \right|^2 \left\{ 1 + h_1(x_c, \mu) \frac{\alpha_s}{\pi} + \dots \right\},$$

$$1 - R_2 = \left| \frac{V_{ub}}{V_{cb}} \right|^2 \left\{ 1 + h_2(x_c, \mu) \frac{\alpha_s}{\pi} + \dots \right\}$$

### $R_3$ : components of the final answer

- Form 'asymmetry' to cancel  $b \rightarrow c\bar{c}s$ , different normalization

$$R_3 = \frac{\Gamma(b \rightarrow cX) - \Gamma(b \rightarrow \bar{c}X)}{N_c \Gamma(b \rightarrow cl\nu)} = \frac{\Gamma(b \rightarrow c\bar{u}d')}{N_c \Gamma(b \rightarrow cl\nu)} - R_1$$

- In **ideal** world,

$$\frac{\Gamma(b \rightarrow c\bar{u}d')}{N_c \Gamma(b \rightarrow cl\nu)} = 1 + \text{known QCD corrections}$$

⇒ 'Easy' measurement and 'clean' theory

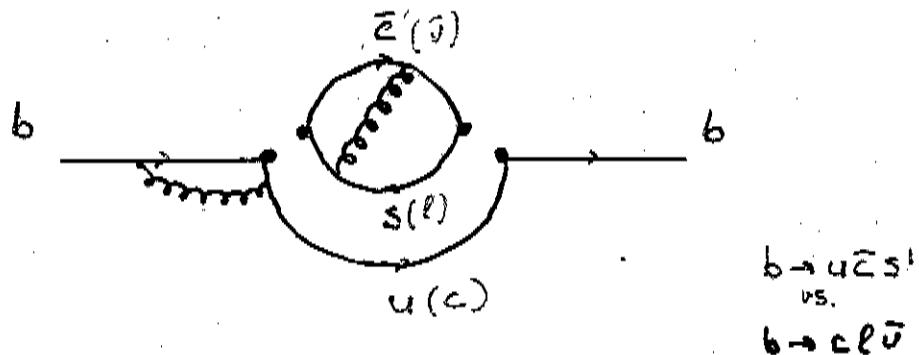
- In **real** world, 'known QCD corrections' are not known to the sufficient precision ( $\sim 1\%$ )...

⇒ Measure  $\delta_3 = \Gamma(b \rightarrow c\bar{u}d')/N_c \Gamma(b \rightarrow cl\nu)$  as well...

- ◊ Thus, at this point,  $R_3$  is equivalent to  $R_2$ ...

## Perturbative QCD corrections

- Charm mass effects tend to enhance the ratio  $R_s$



- Main effect: corrections to  $\Pi_{\mu\nu}^{\bar{c}s'}(q)$ :

$$A_1(z) = \chi a_0(z) \frac{4\alpha_s}{3\pi} \left[ f_1(z) + \frac{2z}{1+2z} f_2(z) \right], \quad \sim \alpha_s^1$$

$$B_1(z) = \chi b_0(z) \frac{4\alpha_s}{3\pi} [f_1(z) - 1], \quad \sim \alpha_s^1$$

- This corrects  $R_s$  in the following way

$$R_s = \chi |V_{ub}/V_{cb}|^2 \left\{ 1 + g(x_c) \frac{\alpha_s}{\pi} + \dots \right\},$$

with  $g(x_c) = a_{bu}(x_c) - a_{bc}(x_c) + a_{\bar{c}s'}(x_c)$

- Singlet ( $O_1$ ) contribution only ... full NLO ?

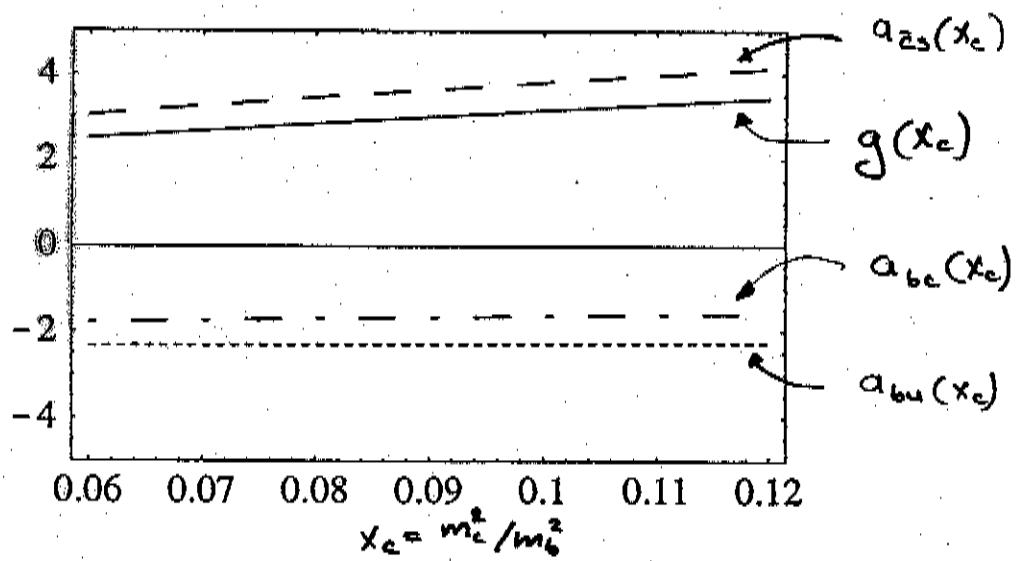
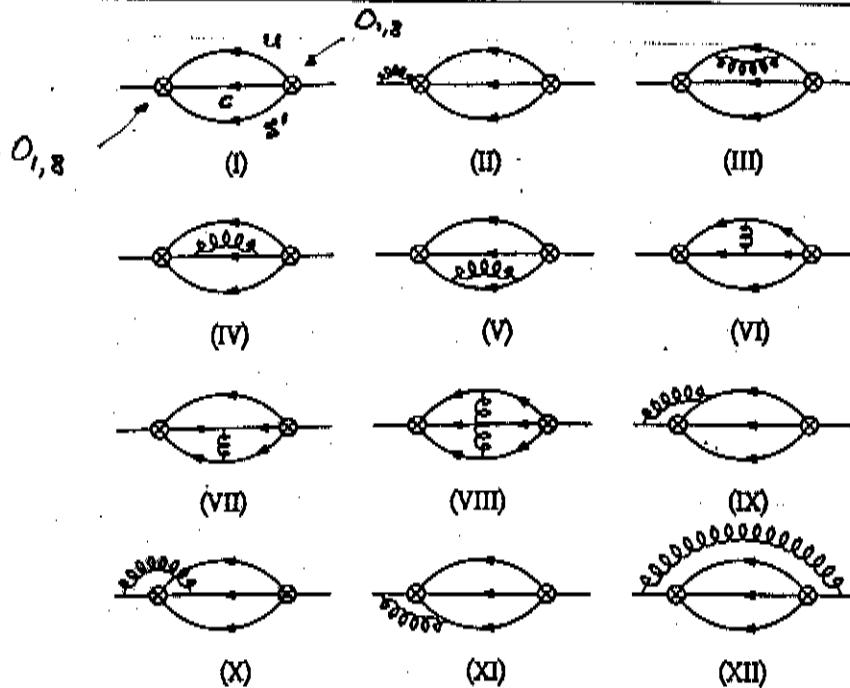


FIG. 1. Radiative corrections to  $R$ , as a function of  $x_c$ . We display curves for  $a_{2s}$ , (dashed),  $a_{bu}$  (dotted),  $a_{bc}$  (dot-dashed), and the total  $g(x_c)$  (solid).

## Perturbative QCD corrections: full NLO



$$\begin{aligned} \Gamma(b \rightarrow u\bar{c}s') &= \frac{1}{3} \Gamma_0 \left\{ 2L_+^2(\mu) + L_-^2(\mu) \right. \\ &+ \left[ \frac{\alpha_s(m_W)}{\pi} - \frac{\alpha_s(\mu)}{\pi} \right] \left[ 2L_+^2(\mu)R_+ + L_-^2(\mu)R_- \right] \\ &+ \frac{\alpha_s(\mu)}{2\pi} \left[ \left[ L_+(\mu) + L_-(\mu) \right]^2 c_{22}(x) + \left[ L_+(\mu) - L_-(\mu) \right]^2 c_{11}(x) \right] \\ &+ \left. \frac{\alpha_s(\mu)}{3\pi} \left[ L_+(\mu)^2 - L_-(\mu)^2 \right] c_{12}(x) \right\} \end{aligned}$$

$$L_{\pm} = \left[ \frac{\alpha_s(m_\omega)}{\alpha_s(\mu)} \right] \frac{\gamma_{\pm}^{(0)}}{2\beta_0}, \quad R_{\pm} = B_{\pm} + \frac{\gamma_{\pm}^{(0)}}{2\beta_0} \left( \frac{\gamma_{\pm}^{(1)}}{\gamma_{\pm}^{(0)}} - \frac{\beta_1}{\beta_0} \right)$$

$$\beta_{\pm} = \pm B \frac{N_c \mp 1}{2N_c}$$

matching coefficients

Chau, Falk,  
Luke, A.P.;  
E. Bagan et al.  
Nucl. Phys. B432  
(1994) 3.

- Salvage parts of the answer from other processes

$$c_{11}(r) = G_c + G_d$$

$$c_{22}(r) = G_a + G_b$$

$$c_{12}(r) = G_a + G_b + G_e + B$$

$$\frac{ds}{dr} G_a \sim \bar{l}_m$$

(II)  $+ (u)^+$

(III)

(IX)  $+ (IX)^+$

(XII)

$b \rightarrow u \tau \bar{\nu}_\tau$

"singlet"  
correction  
(pol.-tensor)

$$\frac{ds}{dr} G_b \sim \bar{l}_m$$

(IV)

(V)

(VII)

$$\frac{ds}{dr} G_c \sim \bar{l}_m$$

(II)  $+ (II)^+$

(XI)  $+ (XI)^+$

(V)

(XII)

$b \rightarrow u \tau \bar{\nu}_\tau$

"singlet"  
correction  
(pol.-tensor)

$$\frac{ds}{dr} G_d \sim \bar{l}_m$$

(III)

(IV)

(VI)

$$\frac{ds}{dr} G_e \sim \bar{l}_m$$

(VI)

(VIII)

(X)  $+ (X)^+$

(XI)  $+ (XI)^+$

...

$$R_1 = \lambda \left| \frac{V_{cb}}{V_{cs}} \right|^2 \left( 1 + h_1(x_c, \mu) \frac{ds(\mu)}{\pi} + \dots \right) = \lambda \left| \frac{V_{cb}}{V_{cs}} \right|^2 (1 + r_1(\mu, x_c)),$$

$$S_2 = 1 - R_2 = \left| \frac{V_{cb}}{V_{cs}} \right|^2 \left( 1 + h_2(x_c, \mu) \frac{ds(\mu)}{\pi} + \dots \right) = \left| \frac{V_{cb}}{V_{cs}} \right|^2 (1 + r_2(\mu, x_c));$$

plot

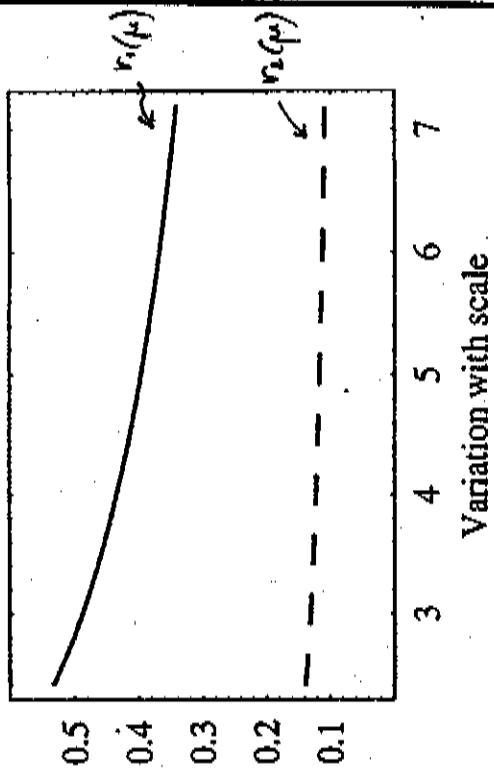
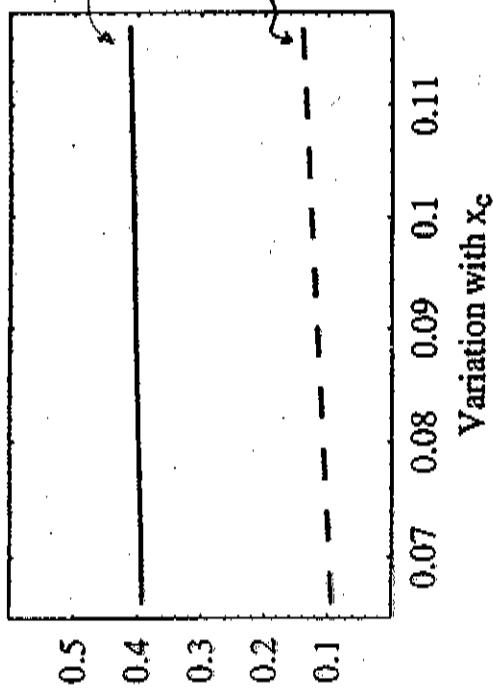


FIG. 1. Variation of  $r_i$  with  $x_c$  and  $\mu$ . In both plots, the solid line is  $r_1$  and the dashed line is  $r_2$ . (a) On the left, we plot  $r_i(x_c)$  for  $4.5 \text{ GeV} \leq m_b \leq 5.1 \text{ GeV}$  and  $\mu = m_b$ . (b) On the right, we plot  $r_i(\mu)$  for  $2.4 \text{ GeV} \leq \mu \leq 7.2 \text{ GeV}$  and  $m_b = 4.8 \text{ GeV}$ .

## Perturbative QCD corrections: BLM

- Full NNLO: too hard... not expected to be large anyway
- Calculate the “BLM” part ( $\sim \beta_0 \alpha_s / \pi$ )  
 ⇒ Singlet part: corrections factorize

$$\Gamma(b \rightarrow X) \propto 1 + a_1^X \frac{\alpha_s(m_b)}{\pi} + a_2^X \beta_0 \left( \frac{\alpha_s(m_b)}{\pi} \right)^2 + \dots,$$

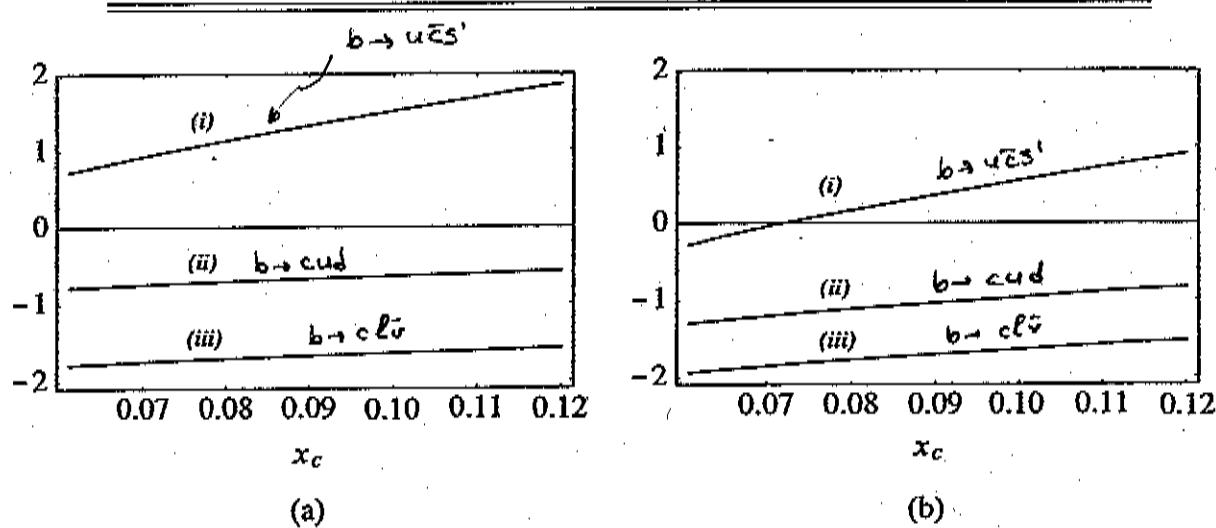


FIG. 2. (a) The one-loop coefficient  $a_1^X$  and (b) the BLM-enhanced two-loop coefficient  $a_2^X$  to (i)  $b \rightarrow u\bar{c}\bar{s}'$ , (ii)  $b \rightarrow c\bar{u}\bar{d}$  and (iii)  $b \rightarrow c\bar{l}\bar{\nu}_l$  decays.

- Estimate the size of the  $\alpha_s^2(m_b)$  term

$$R_1 = \chi \left| \frac{V_{ub}}{V_{cb}} \right|^2 \left\{ 1 + g_1(x_c) \frac{\alpha_s(m_b)}{\pi} + f_1(x_c) \beta_0 \left( \frac{\alpha_s(m_b)}{\pi} \right)^2 + \dots \right\},$$

$$\delta_2 = \left| \frac{V_{ub}}{V_{cb}} \right|^2 \left\{ 1 + g_2(x_c) \frac{\alpha_s(m_b)}{\pi} + f_2(x_c) \beta_0 \left( \frac{\alpha_s(m_b)}{\pi} \right)^2 + \dots \right\}.$$

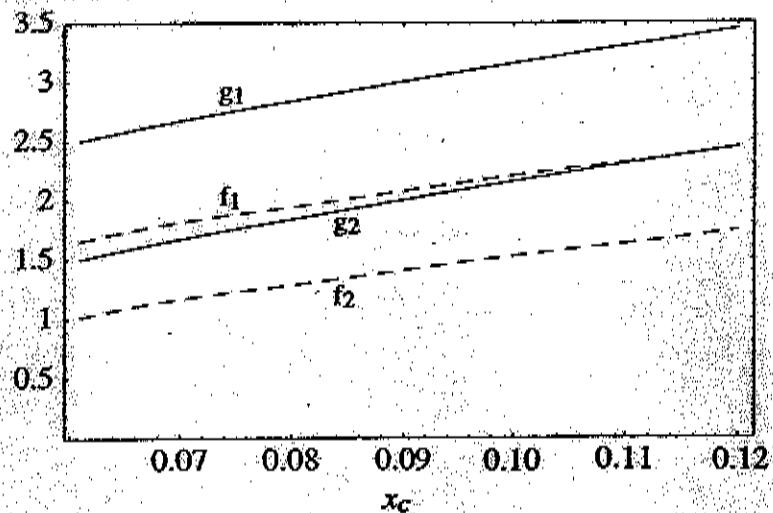


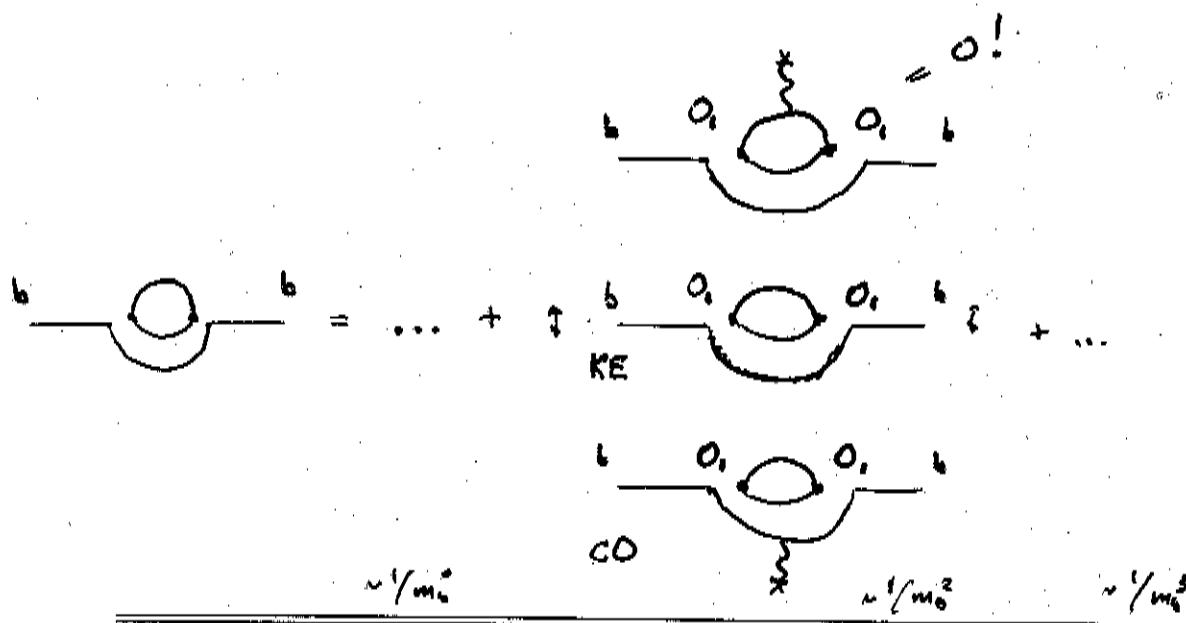
FIG. 3. The one-loop coefficients  $g_i$  (solid) and BLM-enhanced two-loop coefficients  $f_i$  (dashed).

$$r_1(\mu = m_b, x_c = 0.09) \rightarrow r_1 + 1.09 \times f_1(0.09) = 0.40 + 0.10 = 0.50,$$

$$r_2(\mu = m_b, x_c = 0.09) \rightarrow r_2 + f_2(0.09) = 0.12 + 0.06 = 0.18.$$

## Non-perturbative QCD corrections

- Leading  $m_b$  dependence cancels out in  $R_i$
- Leading  $1/m_b^2$  corrections cancel out in  $R_i$



- ... just like in semileptonic decay:

$b \rightarrow q l \bar{\nu}$  is symmetric as  $m_q \leftrightarrow m_l$  at  $1/m_b^2$

A. Falk et.al.

L. Kouratch

1. ...phase space function is symmetric
2. ... $R_i$  involve ratios of total decay widths  
( $\Pi_{\mu\nu}^{\bar{c}s}(q^2)$  is symmetric as  $m_c \leftrightarrow m_s$ )

(also, I. Bigi et.al.)

## Non-perturbative QCD corrections: $\alpha_s \lambda_2 / m_b^2$

- Two sources:

1. pQCD corrections to  $1/m_b^2$  terms (small...)
2. terms  $\sim C_1 C_2: \alpha_s \log(M_W/m_b) \lambda_2 / m_b^2$

I. Bigi et al

$$R_1 = |V_{ub}/V_{cb}|^2 \{1 + \ell_2(\mu, x_c) + \dots\},$$

$$\delta_2 = |V_{ub}/V_{cb}|^2 \{1 + 2\ell_2(\mu, x_c) + \dots\},$$

where

$$\ell_2(\mu, x_c) = C_1(\mu) C_2(\mu) \frac{16(1-x_c)^3}{f(x_c)} \frac{\lambda_2(\mu)}{m_b^2}$$

$$\lambda_2(\mu) = \lambda_2(m_b) [\alpha_s(\mu)/\alpha_s(m_b)]^{9/25}.$$

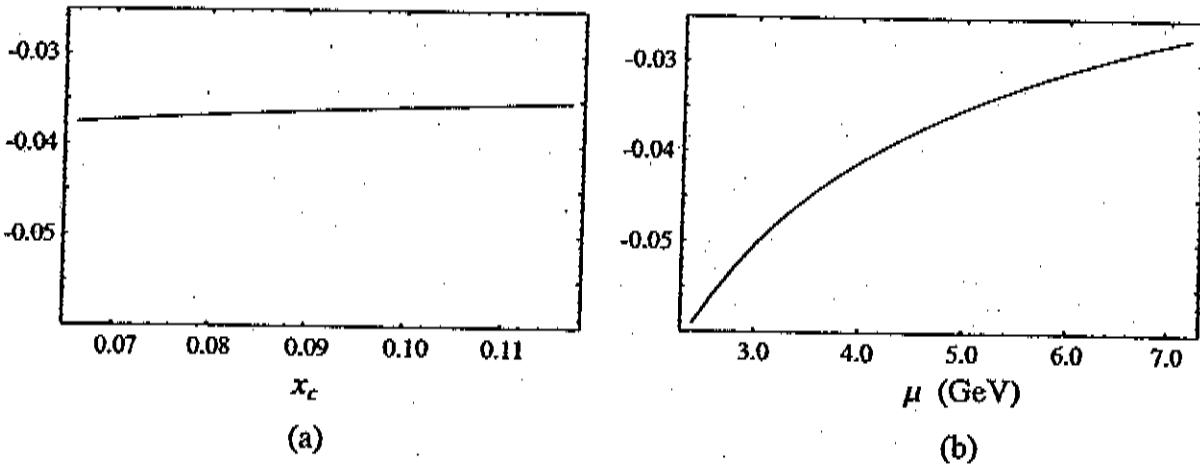
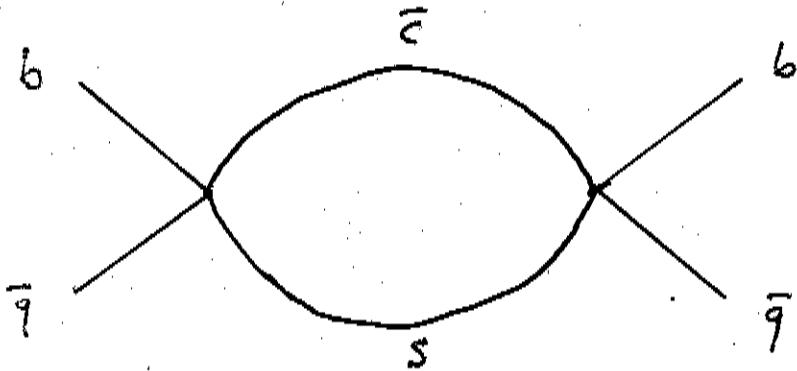


FIG. 4. Variation of  $\ell_2$  with  $x_c$  and  $\mu$ . (a)  $\ell_2(x_c)$  for  $4.5 \text{ GeV} \leq m_b \leq 5.1 \text{ GeV}$  and  $\mu = m_b$ .  
(b)  $\ell_2(\mu)$  for  $2.4 \text{ GeV} \leq \mu \leq 7.2 \text{ GeV}$  and  $m_b = 4.8 \text{ GeV}$ .

## Leading non-perturbative corrections

- Leading  $1/m_b^3$  corrections (weak annihilation) Phase space ( $16\pi^2$ ) enhancement!



$$\begin{aligned}
 T_{sp} = & \frac{G_F^2 m_b}{3\pi} |V_{ub}|^2 (1 - x_c)^2 \{ N_c (c_1 + c_2/N_c)^2 \\
 & \times [(1 + 2x_c) O_{S-P}^u - (1 + x_c/2) O_{V-A}^u] \\
 & + 2c_2^2 [(1 + 2x_c) T_{S-P}^u - (1 + x_c/2) T_{V-A}^u] \\
 & + \sin^2 \theta_C N_c (c_2 + c_1/N_c)^2 \\
 & \times [(1 + 2x_c) O_{S-P}^d - (1 + x_c/2) O_{V-A}^d] \\
 & + 2 \sin^2 \theta_C c_1^2 [(1 + 2x_c) T_{S-P}^d - (1 + x_c/2) T_{V-A}^d] \},
 \end{aligned}$$

where we define

$$\begin{aligned}
 O_{V-A}^q &= \bar{b}_L \gamma^\mu q_L \bar{q}_L \gamma_\mu b_L, \\
 O_{S-P}^q &= \bar{b}_R q_L \bar{q}_L b_R, \\
 T_{V-A}^q &= \bar{b}_L \gamma^\mu T^a q_L \bar{q}_L \gamma_\mu T^a b_L, \\
 T_{S-P}^q &= \bar{b}_R T^a q_L \bar{q}_L T^a b_R.
 \end{aligned}$$

- Spectator-dependent corrections!

- Parameterize matrix elements

$$\begin{aligned}\langle B_q | O_{V-A}^q | B_q \rangle &= \frac{1}{4} f_{B_q}^2 m_{B_q}^2 B_1, \\ \langle B_q | O_{S-P}^q | B_q \rangle &= \frac{1}{4} f_{B_q}^2 m_{B_q}^2 B_2,\end{aligned}$$

$$\begin{aligned}\langle B_q | T_{V-A}^q | B_q \rangle &= \frac{1}{4} f_{B_q}^2 m_{B_q}^2 \epsilon_1, \\ \langle B_q | T_{S-P}^q | B_q \rangle &= \frac{1}{4} f_{B_q}^2 m_{B_q}^2 \epsilon_2.\end{aligned}$$

- Expectations

Vacuum insertion:  $B_1 = B_2 = 1, \epsilon_1 = \epsilon_2 = 0$

In general:  $B_1 \sim B_2 \sim 1, \epsilon_1 \sim \epsilon_2 \sim 1/N_c$

- Fractional contribution of  $1/m_b^3$  terms

$$R_1 = |V_{ub}/V_{cb}|^2 \{1 + a_1(B^-, \bar{B}^0) + \dots\},$$

$$\delta_2 = |V_{ub}/V_{cb}|^2 \{1 + a_2(B^-, \bar{B}^0) + \dots\},$$

- Calculating them we find

$$a_1(B^-) = \frac{16\pi^2 f_B^2 (1-x_c)^2}{\chi N_c m_b^2 f(x_c)} \underbrace{\{N_c(c_1 + c_2/N_c)^2}_{\text{small...}} \\ \times [(1+2x_c)B_2 - (1+x_c/2)B_1] \\ + 2c_2^2 [(1+2x_c)\epsilon_2 - (1+x_c/2)\epsilon_1]\},$$

$$a_1(\overline{B}^0) = \frac{16\pi^2 f_B^2 (1-x_c)^2}{\chi N_c m_b^2 f(x_c)} \underbrace{\sin^2 \theta_c}_{\text{large}} \{N_c(c_2 + c_1/N_c)^2 \\ \times [(1+2x_c)B_2 - (1+x_c/2)B_1] \\ + 2c_1^2 [(1+2x_c)\epsilon_2 - (1+x_c/2)\epsilon_1]\},$$

$$a_2(B^-) = \frac{16\pi^2 f_B^2 (1-x_c)^2}{m_b^2 f(x_c)} \{(C_1 + \frac{1}{3}C_2)^2 \\ \times [(1+2x_c)B_2 - (1+\frac{1}{2}x_c)B_1] \\ + \frac{2}{3}C_2^2 [(1+2x_c)\epsilon_2 - (1+\frac{1}{2}x_c)\epsilon_1] \\ - \frac{1}{3}(C_1^2 + 6C_1C_2 + C_2^2)B_1 - 2(C_1^2 + C_2^2)\epsilon_1\},$$

$\text{Set } N_c = 3$

$$a_2(\overline{B}^0) = -\frac{16\pi^2 f_B^2 (1-x_c)^2}{m_b^2 f(x_c)} \underbrace{\cos 2\theta_C}_{\text{large}} \{(C_2 + \frac{1}{3}C_1)^2 \\ \times [(1+2x_c)B_2 - (1+\frac{1}{2}x_c)B_1] \\ + \frac{2}{3}C_1^2 [(1+2x_c)\epsilon_2 - (1+\frac{1}{2}x_c)\epsilon_1]\}$$

- ... or numerically

$$a_1(B^-) = -0.48B_1 + 0.54B_2 - 0.018\epsilon_1 + 0.021\epsilon_2,$$

$$a_1(\bar{B}^0) = -0.00034B_1 + 0.00038B_2 - 0.018\epsilon_1 + 0.020\epsilon_2,$$

$$a_2(B^-) = -0.43B_1 + 0.54B_2 - 1.14\epsilon_1 + 0.021\epsilon_2,$$

$$a_2(\bar{B}^0) = 0.0063B_1 - 0.0071B_2 + 0.34\epsilon_1 - 0.38\epsilon_2.$$

- Employing "vacuum insertion" ansatz

$$a_1(B^-) = 0.062, \quad a_1(\bar{B}^0) = 4.4 \times 10^{-5},$$

$$a_2(B^-) = 0.112, \quad a_2(\bar{B}^0) = -8.1 \times 10^{-4}.$$

- Not really a reliable estimate...

⇒ Extract  $B_i$  and  $\epsilon_i$  from lifetime measurements ?

⇒ Lattice, QCD sum rules, ... ?

## Survey of results for $B_i$ and $\epsilon_i$

- Lattice (UKQCD, 1998):

$$\begin{aligned} B_1(m_b) &= 1.06 \pm 0.08, & \epsilon_1(m_b) &= -0.01 \pm 0.03, \\ B_2(m_b) &= 1.01 \pm 0.06, & \epsilon_2(m_b) &= -0.02 \pm 0.02, \end{aligned}$$

- QCD sum rules (Chernyak, 1995)

$$\begin{aligned} B_1 &\simeq 1, & \epsilon_1 &\simeq -0.15, \\ B_2 &\simeq 1, & \epsilon_2 &\simeq 0, \end{aligned}$$

- QCD sum rules (Baek *et. al.*, 1998)

$$\begin{aligned} B_1(m_b) &= 1.01 \pm 0.01, & \epsilon_1(m_b) &= -0.08 \pm 0.02, \\ B_2(m_b) &= 0.99 \pm 0.01, & \epsilon_2(m_b) &= -0.01 \pm 0.03, \end{aligned}$$

- HQET QCD sum rules (Cheng and Yang, 1999)

$$\begin{aligned} B_1(m_b) &= 0.96 \pm 0.04, & \epsilon_1(m_b) &= -0.14 \pm 0.01, \\ B_2(m_b) &= 0.95 \pm 0.02, & \epsilon_2(m_b) &= -0.08 \pm 0.01. \end{aligned}$$

$\Rightarrow$  ◊ Take CV from LQCD, blow up errors to reflect QCDSR

$$\begin{aligned} B_1(m_b) &= 1.06 \pm 0.10, & \epsilon_1(m_b) &= -0.01 \pm 0.10, \\ B_2(m_b) &= 1.01 \pm 0.10, & \epsilon_2(m_b) &= -0.02 \pm 0.10, \end{aligned}$$

- Our estimate

$$\begin{aligned} a_1(B^-) &= 0.04 \pm 0.07, & a_1(\bar{B}^0) &= 0 \pm 0.003, \\ a_2(B^-) &= 0.10 \pm 0.13, & a_2(\bar{B}^0) &= 0 \pm 0.05. \end{aligned}$$

## Murky business of theoretical uncertainties

- Summarizing all sources of uncertainties,

$$\begin{aligned}
 R_1^- &= |V_{ub}/V_{cb}|^2 \left[ 1 + r_1(x_c, \mu) + \ell_2(x_c, \mu) + a_1(B^-) \right], \\
 R_1^0 &= |V_{ub}/V_{cb}|^2 \left[ 1 + r_1(x_c, \mu) + \ell_2(x_c, \mu) + a_1(\bar{B}^0) \right], \\
 \delta_2^- &= |V_{ub}/V_{cb}|^2 \left[ 1 + r_2(x_c, \mu) + 2\ell_2(x_c, \mu) + a_2(B^-) \right], \\
 \delta_2^0 &= |V_{ub}/V_{cb}|^2 \left[ 1 + r_2(x_c, \mu) + 2\ell_2(x_c, \mu) + a_2(\bar{B}^0) \right],
 \end{aligned}$$

pQCD
 $\frac{\omega_s \lambda_s}{m_b^2}$ 
WA

- ... or numerically

$$\begin{aligned}
 R_1^- &= |V_{ub}/V_{cb}|^2 [1.50^{+0.10+0.005+0.010}_{-0.05-0.025-0.015} \pm 0.07], \\
 R_1^0 &= |V_{ub}/V_{cb}|^2 [1.46^{+0.10+0.005+0.010}_{-0.05-0.025-0.015} \pm 0.005], \\
 \delta_2^- &= |V_{ub}/V_{cb}|^2 [1.21 \pm 0.03 \pm 0.015^{+0.015}_{-0.030} \pm 0.13], \\
 \delta_2^0 &= |V_{ub}/V_{cb}|^2 [1.11 \pm 0.03 \pm 0.015^{+0.015}_{-0.030} \pm 0.05].
 \end{aligned}$$

NLO
BLM
 $\frac{\omega_s \lambda_s}{m_b^2}$ 
WA

pQCD
WA

- Account for correlations in pQCD errors,  
add non-perturbative errors in quadrature

$$R_1^- = |V_{ub}/V_{cb}|^2 [1.50 \pm 0.15], \quad \delta_2^- = |V_{ub}/V_{cb}|^2 [1.21 \pm 0.15]$$

$$R_1^0 = |V_{ub}/V_{cb}|^2 [1.46 \pm 0.10], \quad \delta_2^0 = |V_{ub}/V_{cb}|^2 [1.11 \pm 0.10]$$

## Conclusions and Outlook

- 
- ◊ A new, theoretically clean method of determination of the CKM parameter  $V_{ub}$  is proposed.
  - ◊ The proposed ratios,

$$R_1 = \frac{\Gamma(b \rightarrow \bar{c}us')}{N_c \Gamma(b \rightarrow cl\nu)} \simeq \chi \left| \frac{V_{ub}}{V_{cb}} \right|^2 (1 + g(x_c) + \dots)$$
$$R_2 = \frac{\Gamma(b \rightarrow cX) - \Gamma(b \rightarrow \bar{c}X)}{\Gamma(b \rightarrow c\bar{u}d')} = 1 - \frac{\Gamma(b \rightarrow \bar{c}us')}{\Gamma(b \rightarrow c\bar{u}d')}$$
$$R_3 = \frac{\Gamma(b \rightarrow cX) - \Gamma(b \rightarrow \bar{c}X)}{N_c \Gamma(b \rightarrow cl\nu)} = \frac{\Gamma(b \rightarrow c\bar{u}d')}{N_c \Gamma(b \rightarrow cl\nu)} - R_1$$

are free of leading renormalon ambiguities and leading  $1/m_b^2$  corrections.

- ◊ Perturbative QCD  $\alpha_s$  corrections enhance  $R_i$  by  $\sim 25\%$ .
  - ◊ Non-perturbative  $1/m_b^3$  corrections are  $\leq 6\%$ .
  - ◊ CAN predict  $R_i$  with an accuracy of better than 10%!
-